

GCAN: Generative Counterfactual Attention-guided Network for Explainable Cognitive Decline Diagnostics based on fMRI Functional Connectivity

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Abstract. Diagnosis of mild cognitive impairment (MCI) and subjective cognitive decline (SCD) from fMRI functional connectivity (FC) has gained popularity, but most FC-based diagnostic models are black boxes lacking casual reasoning so they contribute little to the knowledge about FC-based neural biomarkers of cognitive decline. To enhance the explainability of diagnostic models, we propose a generative counterfactual attention-guided network (GCAN), which introduces counterfactual reasoning to recognize cognitive decline-related brain regions and then uses these regions as attention maps to boost the prediction performance of diagnostic models. Furthermore, to tackle the difficulty in the generation of highly-structured and brain-atlas-constrained FC, which is essential in counterfactual reasoning, an Atlas-Aware Bidirectional Transformer (AABT) method is developed. AABT employs a bidirectional strategy to encode and decode the tokens from each network of brain atlas, thereby enhancing the generation of high-quality target label FC. In the experiments of hospital-collected and ADNI datasets, the generated attention maps closely resemble FC abnormalities in the literature on SCD and MCI. The diagnostic performance is also superior to baseline models. The code is available at <https://github.com/SXR3015/GCAN>.

Keywords: Cognitive decline diagnostics · Counterfactual reasoning · Attention · fMRI · Functional connectivity.

1 Introduction

Diagnosing mild cognitive impairment (MCI) and subject cognitive decline (SCD) is vital for early intervention of Alzheimer’s disease (AD). The fMRI-based functional connectivity (FC) abnormalities at network level [1] and/or region level [2] have been extensively in the research of MCI and SCD. FC is normally calculated as Pearson’s Correlation Coefficients among fMRI signals on a set of predefined regions of interest (ROIs) derived from an anatomical or functional atlas. Based on FC, researchers have developed a great number of diagnostic models using deep learning methods, such as those based on convolution neural network (CNN) [3] or Transformer [4]. However, these models are normally a black-box so important FC and related brain regions that are predictive of SCD or MCI still remain unclear. Some explainable models, such as Grad-CAM [5] and Score-CAM[6], have been popularly used in other fields like computer vision. However, they have generated explanation results using gradient backward

based on the classification result labels. These methods often produce similar explanation results across incorrect class labels. Recently, counterfactual reasoning has emerged, creating the model’s output in hypothetical scenarios, which directly generate explanation results, thus circumventing erroneous inference results based on result labels.

In the related research of MRI, Oh et al. applied counterfactual reasoning to structural MRI for the diagnosis of AD and MCI [7]. Ren et al. also used counterfactual reasoning in the detection of brain lesions [8]. However, applying counterfactual reasoning to FC presents challenges due to the strong structural characteristics of the brain atlas, making the reconstruction of the target FC difficult and thereby complicating the counterfactual reasoning architecture.

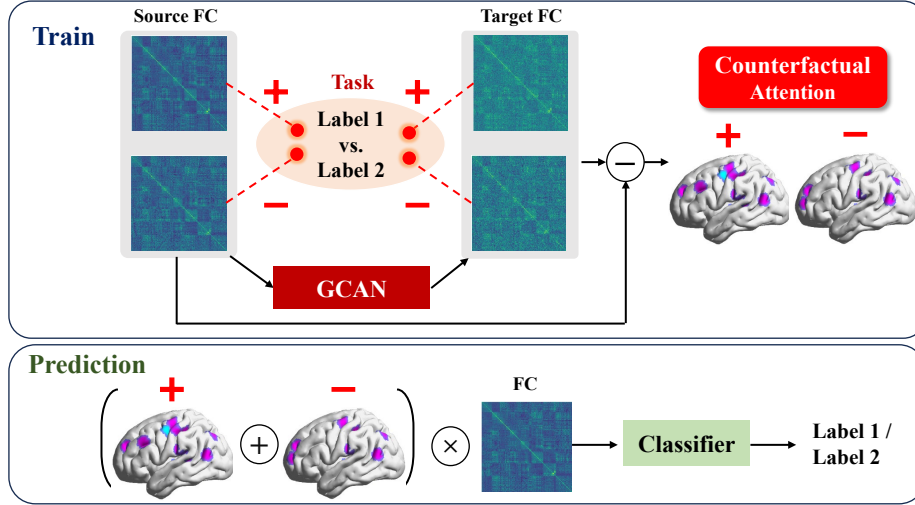


Fig. 1. The proposed Generative Counterfactual Attention-guided Network (GCAN) interpretatively enhances the diagnosis of cognitive decline by identifying key brain regions (referred to as 'counterfactual attention') involved in the transition between healthy, SCD, and MCI brain states, based on their functional connectivity (FC) matrix. This approach offers a bidirectional view of counterfactual attention that, once integrated with the original FC matrix, guides the classifier’s focus to these regions throughout the learning process. The positive (+) and negative (−) signs symbolize two perspectives within the attention map, reflecting the inversion of causal relationship positions-*source* and *target*-in counterfactual inference.

To construct the counterfactual reasoning architecture for FC-based diagnostic models, we introduce the Generative Counterfactual Attention-Directed Network (GCAN) for identifying predictable FC features and related brain regions. These regions are then used as counterfactual attention maps onto FC matrices to increase the prediction performance. To tackle the challenge of generating FC, we devised an Atlas-aware Bidirectional Transformer (AABT) to reconstruct FC within the GCAN framework. The main contributions of this paper are twofold:

- 1) We introduce a counterfactual reasoning architecture to detect cognitive decline-related regions. The architecture is shown in Fig. 1.
 - **training stage:** We develop GCAN to generate the target label FC. Subsequently, we compute the difference between the target label FC and the source label FC to construct the counterfactual attention for all source labels.
 - **prediction stage:** The new diagnostic model is initialized with attention on cognitive decline-related regions by aggregating all counterfactual attention and applying the resulting total attention map to FC. Subsequently, this masked FC matrix is used to train the new diagnostic model.
- 2) AABT is introduced for generating highly structured FC. It can dynamically encode and decode the FC based on individual networks of atlas. To offer a better understanding of both encoding and decoding FC, we employ a bidirectional structure including forward and backward process to handle token encoding and decoding.

2 Method

2.1 Generative Counterfactual Attention-guided Network (GCAN)

The proposed GCAN consists of a Generator and a Discriminator, each rooted in the principles of counterfactual inference. The fundamental architecture of both the generator and discriminator is the AABT, as detailed in Section 2.2.

Generator As illustrated in Fig. 2, the generator begins by combining Gaussian noise and the FC matrix with the source label, denoted as input C_n^s . This input is then transformed into a feature map by the AABT encoder. Following this transformation, an AABT decoder, which mirrors the AABT encoder in architecture, reconstructs the feature map into a generated FC matrix, represented as C_g^s . To derive counterfactual attention, the mean FC matrix associated with the target label undergoes encoding by the AABT to yield an average feature map of the target class, denoted as C_r^t . This map contains the causal information necessary for counterfactual inference. It is then combined with C_g^s to facilitate the inference of the target FC matrix, denoted as C_g^t . To ensure C_g^s and C_g^t closely mirror FC matrices, the generator loss includes perceptual (L_p), generative (L_g), and label cross-entropy (L_c) losses. L_p and L_g maintain FC characteristics for C_g^s , while L_c ensures C_g^t 's accuracy in target labeling, verified by a pre-trained classifier (CLS). The total loss, L_G , is defined as follows:

$$L_p = MSE(VGG(C_g^s), VGG(C_r^s)), \quad (1)$$

$$L_{gen} = MSE(C_g^s, C_r^s), \quad (2)$$

$$L_c = CE(CLS(C_g^t), y_t), \quad (3)$$

$$L_G = L_p + L_c + L_{gen}, \quad (4)$$

where MSE represents the mean square error, VGG represents VGG16 network, and CE represents cross-entropy, y_t represents the expected target label.

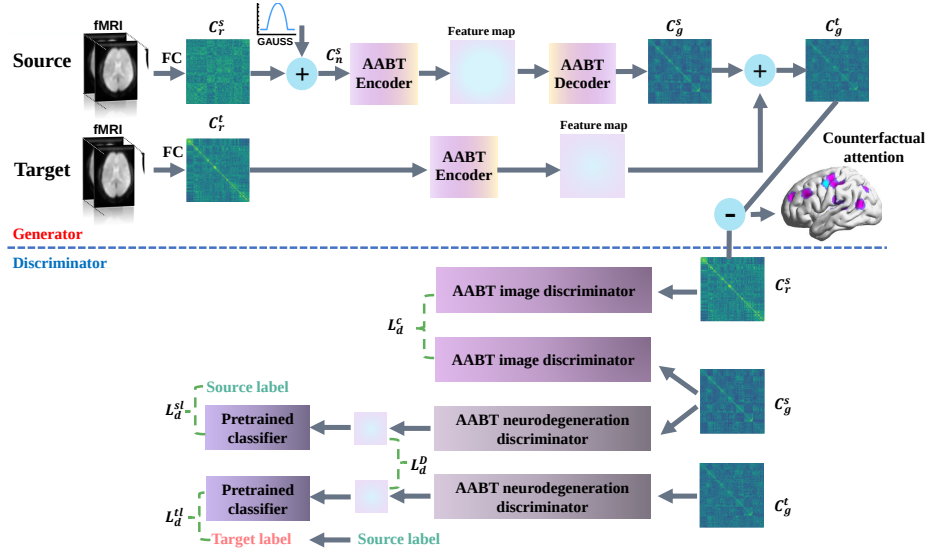


Fig. 2. The proposed GCAN is illustrated as follows: The generator starts with a noisy source label input, C_n^s , using it to reconstruct the source label’s FC. It also extracts disease-related causal information from the dataset’s mean target label FC, C_r^t . This causal information is then leveraged to transform the reconstructed source label FC into the target label FC, C_g^t . On the other side, the discriminator employs components sensitive to both imaging features and neurodegenerative indicators to verify that C_g^t not only mimics the FC characteristics accurately but also encapsulates distinct cognitive information differentiating it from C_r^s .

Discriminator The discriminator of GCAN, detailed in Fig. 2, ensures the fidelity of C_g^t and C_g^s through two main components: AABT image and AABT neurodegeneration. The image component evaluates C_g^s ’s FC characteristics align with C_r^s , indicated by loss L_d^c . The neurodegeneration component focuses on identifying cognitive decline features within FC matrices, aiding in accurate subject classification by a pre-trained classifier. It employs cross-entropy loss L_d^{sl} to align features of C_g^s with the source label and L_d^{tl} for matching C_g^t features with the target label. Additionally, mean square error L_d^D measures the distinction between feature maps of C_g^s and C_g^t , promoting discriminative learning of cognitive features.

$$L_d^c = \text{mean} \{ \log [1 - S(D_i(C_g^s))] * \log [S(D_i(C_r^s))] \} \quad (5)$$

$$L_d^{sl} = CE(CLS(D_n(C_g^s)), y_s) \quad (6)$$

$$L_d^{tl} = CE(CLS(D_n(C_g^t)), l_t) \quad (7)$$

$$L_d^D = \text{mean} \{ \log [1 - S(D_i(C_g^s))] * \log [1 - S(D_i(C_g^t))] \} \quad (8)$$

$$L_D = L_d^c + L_d^{sl} + L_d^{tl} + L_d^D \quad (9)$$

where D_i represents the image discriminator and D_n represents the neurodegeneration discriminator, y_s represents the source label.

2.2 Atlas-aware Bidirectional Transformer

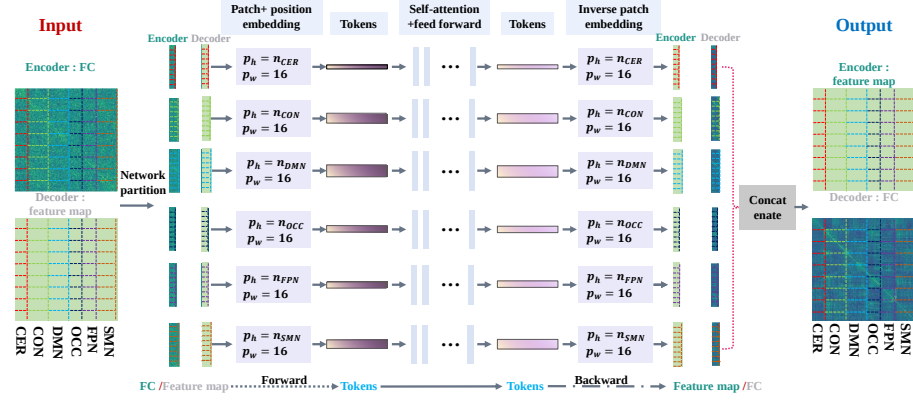


Fig. 3. The **AABT** mechanism involves the dynamic configuration of patch embedding and inverse patch embedding, contingent on the network’s region count, facilitating both forward and backward processes. Here, p_w and p_h specify patch width and height, respectively, while n_* indicates the network’s region total.

Directly inputting the FC of all atlas networks into the Transformer block could result in uniform attention across all region correlations. Inspired by the vision Transformer [9] and the atlas-aware framework in fMRI [10], the basic block of AABT is designed to dynamically focus on the correlation of the current atlas network. The bidirectional structure including patch embedding and inverse patch embedding is employed to encode and decode the correlation in the Transformer. The patch embedding serves as the forward process to encode the input (FC or feature map) into tokens, while inverse patch embedding acts as the backward process to decode the tokens into outputs (FC or feature map). The combination of patch embedding and inverse patch embedding aims at encoding and decoding the current network correlation with a global perspective, aiding the model in understanding the current network correlation during both encoding and decoding processes. Initially, the input FC is divided into networks, including CER (cerebellum network), CON (cingulo-opercular network), DMN (default mode network), OCC (occipital network), FPN (fronto-parietal network), and SMN (sensorimotor network). During patch and position embedding, patch height matches each network’s region count, with patch width set at 16. Tokens from these embeddings undergo self-attention and feed-forward blocks for encoding. For decoding, inverse patch embedding recreates the FC or feature map. The FC decoding relies on a narrowly focused convolutional network [11]. Inverse patch embedding decodes FC in the Transformer, offering a global view, as shown in Fig. 3. The output O of AABT is defined as follows:

$$O = C \left\{ \tilde{P}_i [SA_i (P_i (S_i (I)))] \right\} \quad (10)$$

where I represents the input feature map or FC, i represents i -th network of FC, S_i represents network segmentation, P_i represents the patch embedding and position embedding operation, SA represents self attention and feed forward, $\tilde{P}_i$ represents inverse patch embedding, C represents concatenation operation.

3 Experiments and Results

3.1 Dataset and Experiment Setup

Dataset In this study, both hospital-collected data and Alzheimer’s Disease Neuroimaging Initiative (ADNI) data are employed to train and validate the proposed method. The hospital-collected data comprises 77 HC, 75 SCD patients, and 99 MCI patients. The ADNI data consists of 67 HC, 22 SCD patients, and 95 MCI patients. The data undergo preprocessing using SPM12 [12], including slice-timing correction, head motion estimation and correction, intra-subject registration, and co-registration.

Experiment Setup The depth of the Transformer in the encoder and decoder of the generator is set to 3, while in the image and neurodegeneration discriminator part, it is set to 8. Other hyperparameters of the model can be found in the code. The performance of the pre-trained and final classifiers is evaluated using accuracy (ACC), recall, precision, and F1-score (F1).

3.2 Results

Diagnostic Performance To validate the diagnostic performance, baseline models are constructed based on the following formulations:

- R^* represents either ResNet10 or ResNet18 [13]. T^* symbolizes a Transformer with varied multi-head self-attention counts. ‘B’ means 16 Transformer heads, ‘L’ for 32, and ‘S’ for 8. ‘A’ marks the addition of channel attention, a prevalent attention mechanism [14].
- $R^*//$ indicates diagnostic model solely constructed by ResNet. RA signifies the diagnostic model constructed using ResNet and channel attention. RT^* denotes the diagnostic model constructed using ResNet and Transformer.

The proposed method employs ResNet10 and Transformer with 16 heads. While the baseline model directly inputs FC, the proposed method inputs FC masked by counterfactual attention. As demonstrated in Table 1, the proposed method achieves superior diagnostic performance across three tasks and two datasets.

Counterfactual Attention Map During the neurodegeneration process from HC to MCI, significant FC changes occur in regions such as the prefrontal cortex, cingulate cortex, and hippocampus [15]. Similarly, during intervention in MCI, significant changes are observed in the FC of regions like the cingulate cortex and gyrus [16]. Hence, throughout the conversion process of each binary diagnostic task, most attention regions should remain consistent with slight variations. In Fig. 4, the attention of each FC region is calculated and depicted using BrainNet

Table 1. The diagnostic performance of binary diagnostic task (HC vs. SCD, HC vs. MCI, and SCD vs. MCI) on hospital-collected and ADNI datasets.

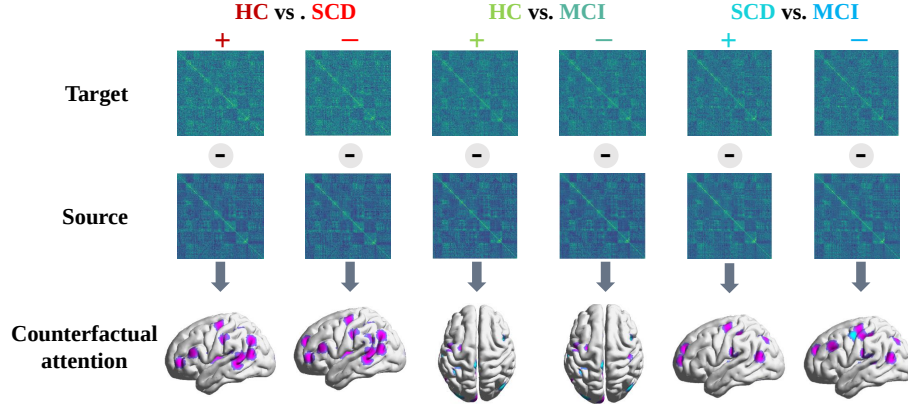
			Hospital				ADNI			
			Acc	Recall	Precision	F1	Acc	Recall	Precision	F1
HC vs. SCD	R10	//	0.8333	0.4667	1.0000	0.6364	0.6786	0.7823	0.6130	0.6874
		A	0.8667	0.6667	0.8000	0.7273	0.6905	0.8413	0.6033	0.7027
		T-S	0.8000	0.4000	0.8000	0.5333	0.6190	0.3764	0.6667	0.4812
		T-B	0.8000	0.4000	0.8000	0.5333	0.6667	0.6049	0.6627	0.6325
		T-L	0.8000	0.4000	0.8000	0.5333	0.6049	0.7078	0.5802	0.6377
	R18	//	0.8000	0.4000	0.8000	0.5333	0.6905	0.5510	0.6648	0.6026
		A	0.8000	0.4000	0.8000	0.5333	0.6310	0.3220	0.7143	0.4439
		T-S	0.8000	0.4000	0.8000	0.5333	0.6207	0.3739	0.6345	0.4705
		T-B	0.8000	0.5333	0.6800	0.5978	0.6543	0.3128	0.6614	0.4247
		T-L	0.8000	0.4000	0.8000	0.5333	0.6420	0.4815	0.6587	0.5563
	Proposed		0.9333	0.8667	1.0000	0.9286	0.7284	0.6667	0.7445	0.7035
HC vs. MCI	R10	//	0.6552	0.9004	0.6552	0.7585	0.6562	0.8611	0.6456	0.7379
		A	0.6458	0.9167	0.6331	0.7490	0.6207	0.9632	0.6137	0.7497
		T-S	0.6437	0.8812	0.6381	0.7402	0.6146	0.8542	0.6102	0.7119
		T-B	0.6322	0.8084	0.6528	0.7223	0.6458	0.9514	0.6193	0.7502
		T-L	0.6667	0.9195	0.6468	0.7594	0.6465	0.8364	0.6505	0.7318
	R18	//	0.7126	0.9080	0.7125	0.7985	0.6667	0.6929	0.6729	0.6828
		A	0.7011	0.7739	0.7755	0.7747	0.6458	0.7986	0.6468	0.7147
		T-S	0.6782	0.8889	0.6629	0.7594	0.6458	0.8194	0.6610	0.7317
		T-B	0.6437	1.0000	0.6247	0.7690	0.6667	0.7847	0.6724	0.7242
		T-L	0.6207	0.8927	0.6243	0.7348	0.6354	0.6736	0.7037	0.6883
	Proposed		0.7471	0.9816	0.7056	0.8210	0.6970	0.8653	0.6709	0.7558
SCD vs. MCI	R10	//	0.7778	0.9392	0.8133	0.8717	0.6989	0.7233	0.7610	0.7417
		A	0.8500	1.0000	0.8500	0.9189	0.6989	0.7247	0.7552	0.7396
		T-S	0.7692	1.0000	0.7692	0.8695	0.7204	0.7864	0.7935	0.7899
		T-B	0.7692	1.0000	0.7692	0.8695	0.6989	0.9541	0.6726	0.7890
		T-L	0.7949	1.0000	0.7857	0.8800	0.6989	0.8380	0.7097	0.7685
	R18	//	0.7949	1.0000	0.7857	0.8800	0.6774	0.9828	0.6523	0.7841
		A	0.8500	1.0000	0.8500	0.9189	0.7097	0.8165	0.7322	0.7721
		T-S	0.7692	1.0000	0.7692	0.8695	0.6989	0.8509	0.6943	0.7647
		T-B	0.8205	1.0000	0.8047	0.8918	0.6667	0.6344	0.7586	0.6910
		T-L	0.7692	1.0000	0.7692	0.8695	0.6882	0.9140	0.6779	0.7784
	Proposed		0.9487	0.9707	0.9615	0.9661	0.7312	0.8656	0.7307	0.7924

Viewer [17]. Prominent attention regions across different conversion processes in diagnostic tasks, including HC vs. MCI, HC vs. SCD, and SCD vs. MCI, show considerable overlap, although some regional differences exist. These findings align closely with previously reported research. The networks of the top 10 regions in the counterfactual attention are outlined in Table 2. These networks are highly associated with cognitive decline [18, 19]. Hence, the significant regions identified by counterfactual attention are strongly linked to neurodegeneration.

Ablation study To validate the benefits of counterfactual attention, we conduct an ablation study on the same diagnostic model. One model inputs FC directly, while the other inputs FC masked by counterfactual attention. As depicted in Table 3, the model utilizing counterfactual attention has superior diagnostic performance across three tasks and two datasets.

Table 2. The network of top 10 regions at the counterfactual attention in HC vs. MCI, HC vs. SCD, and SCD vs. MCI diagnostic task.

HC vs. SCD	+	FPN	DMN	SMN	CON	OCC	SMN	OCC	CON	CER	FPN
	-	FPN	DMN	SMN	OCC	CON	SMN	FPN	CON	DMN	SMN
HC vs. MCI	+	FPN	SMN	FPN	OCC	SMN	DMN	CER	OCC	DMN	FPN
	-	FPN	SMN	FPN	OCC	SMN	FPN	DMN	CON	CER	OCC
SCD vs. MCI	+	DMN	SMN	CON	DMN	FPN	DMN	FPN	CER	SMN	DMN
	-	FPN	DMN	CER	SMN	SMN	SMN	CON	DMN	CON	OCC

**Fig. 4.** The generated target label FC and counterfactual attention in HC vs. MCI, HC vs. SCD, and SCD vs. MCI diagnostic task.**Table 3.** Ablation study on hospital-collected and ADNI datasets.

	Counterfactual attention	Hospital				ADNI			
		Acc	Recall	Precision	F1	Acc	Recall	Precision	F1
HC vs. SCD	✗	0.80000	0.4000	0.8000	0.5333	0.6667	0.6049	0.6627	0.6325
	✓	0.9333	0.8667	1.0000	0.9286	0.7284	0.6667	0.7445	0.7035
HC vs. MCI	✗	0.6322	0.8084	0.6528	0.7223	0.6458	0.9514	0.6193	0.7502
	✓	0.7471	0.9816	0.7056	0.8210	0.6970	0.8653	0.6709	0.7558
MCI vs. SCD	✗	0.7692	1.0000	0.7692	0.8695	0.6989	0.9541	0.6726	0.7890
	✓	0.9487	0.9707	0.9615	0.9661	0.7312	0.8656	0.7307	0.7924

4 Conclusion

To improve both the explainability and performance of the FC-based SCD/MCI diagnostic model, we propose the GCAN, which directs the model's attention towards regions associated with neurodegeneration, termed the 'counterfactual attention map'. This objective is achieved by constructing the generator and the discriminator with AABT, enabling the generation of the target label FC and the subtraction of the source label FC. AABT adapts an atlas-aware bidirectional transformer and offers global insights into the target label FC reconstruction. Experimental results confirm that the counterfactual attention map aligns with empirical observations and domain knowledge of SCD and MCI, which demon-

strates the explainability of the proposed GCAN. The diagnostic performance and ablation study demonstrate the effectiveness of counterfactual attention.

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Disclosure of Interests The authors have no competing interests to declare that are relevant to the content of this article.

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